

# Other Still Image Compression Standards

Tzu-Heng Henry Lee, Po-Hong Wu

## Introduction

Today, there are many compression standards that have been developed by companies or researchers. In this tutorial, we introduce some compression standards that are popular in the recent years. All these compression standards have its own advantages and disadvantages and we will give an overview in the following articles. First, we will introduced the JPEG 2000 (Joint Photographic Experts Group 2000) compression standard followed by the JPEG-LS (Joint Photographic Experts Group – Lossless Standard), the JBIG2 (Joint Bi-level Image Experts Group), the GIF (Graphics Interchange Format), the LZ77 (Lempel-Ziv 77), the PNG (Portable Network Graphics), HD photo, and the TIFF (Tag Image File Format) in order. However, some compressions involve difficult algorithms that are too difficult to be introduced appropriately here. We only introduce the main idea of each compression without mathematical details.

# CONTENTS

<b>Chapter 1</b>	<b>JPEG 2000</b> .....	<b>4</b>
1.1	Fundamental Building Blocks .....	4
1.2	Wavelet Transform .....	6
<b>Chapter 2</b>	<b>JPEG-LS</b> .....	<b>9</b>
2.1	Modeler .....	9
2.2	Coding .....	12
<b>Chapter 3</b>	<b>JBIG2</b> .....	<b>13</b>
3.1	Text Image Data .....	13
3.2	Halftones .....	15
3.3	Arithmetic Entropy Coding .....	16
<b>Chapter 4</b>	<b>GIF</b> .....	<b>17</b>
4.1	LZW Data Compression .....	17
4.2	Implementation Challenges of LZW Algorithm .....	21
4.3	Application of LZW Algorithm in Image Compression .....	22
<b>Chapter 5</b>	<b>PNG</b> .....	<b>24</b>
5.1	Huffman Coding in the Deflate Format .....	25
5.2	LZ77-Related Compression Algorithm Details .....	27
<b>Chapter 6</b>	<b>HD Photo (JPEG XR)</b> .....	<b>29</b>
6.1	Data Hierarchy .....	29
6.2	HD Photo Compression Algorithm .....	32
<b>Chapter 7</b>	<b>TIFF 6.0</b> .....	<b>33</b>
7.1	Difference Predictor .....	33
7.2	PackBits Compression .....	33

7.3	Modified Huffman Compression .....	35
<b>Chapter 8</b>	<b>Conclusion and Comparison .....</b>	<b>36</b>
<b>REFERENCES</b>	<b>.....</b>	<b>38</b>

# Chapter 1    JPEG 2000

The JPEG 2000 (Joint Photographic Experts Group 2000) compression standard employs the wavelet transform as its core transform algorithm [1], [5], [6]. This standard is developed to overcome the shortcomings of the baseline JPEG. Instead of using the low-complexity and memory efficient block discrete cosine transform (DCT) that was used in the JPEG standard, the JPEG 2000 uses the discrete wavelet transform (DWT) that is based on multi-resolution image representation [7]. The main purpose of the wavelet analysis is to obtain different approximations of a function  $f(x)$  at different levels of resolution [1], [4]. Both the mother wavelet  $\psi(x)$  and the scaling function  $\varphi(x)$  are important functions to be considered in multiresolution analysis [4]. The DWT also improves compression efficiency due to good energy compaction and the ability to decorrelate the image across a larger scale. The JPEG2000 has also replaced the Huffman coder of the baseline JPEG with a context-based adaptive binary arithmetic coder known as the MQ coder [6].

## 1.1    Fundamental Building Blocks

The fundamental building block of JPEG2000 is illustrated in Fig. 1.1. Similar to the JPEG, a core transform coding algorithm is first applied to the input image data. After quantizing and entropy coding the transformed coefficients, the output codestream or the so-called bitstream are formed. Each of the blocks will be described in more detail.

Before the image data is fed into the transform block, the source image is decomposed into three color components, either in RGB or YCbCr format. The input

image and its components are then further decomposed by tiling process that particularly benefits applications that have a limited amount of available memory compared to the image size [5], [6]. The tile size can be arbitrarily defined and it can be as large as the original image. Next, the DWT is applied to each tile such that each tile is decomposed into different resolution levels. The transformation detail and the resulting four subbands are discussed later in Section 1.2 . The output subband coefficients are quantized and collected into rectangular arrays of “code-blocks” before they are coded using the context dependent binary arithmetic coder [6].

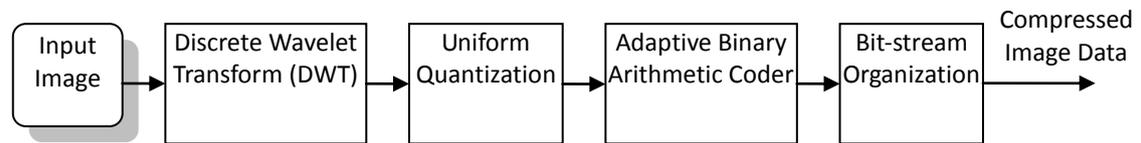


Fig. 1.1 JPEG2000 fundamental building blocks [6].

The quantization process employed in the JPEG 2000 is similar to that of the JPEG that allows each DCT coefficient to have a different step-size. The difference is that JPEG 2000 incorporated a central deadzone in the quantizer. A quantizer step-size  $\Delta_b$  is determined for each subband  $b$  based on the perceptual importance of each subband. Then, each wavelet coefficient  $y_b(u,v)$  in subband  $b$  is mapped to a quantized index value  $q_b(u,v)$  by the quantizer. This is efficiently performed according to

$$q_b(u,v) = \text{sign}(y_b(u,v)) \left\lfloor \frac{|y_b(u,v)|}{\Delta_b} \right\rfloor, \quad (1.1)$$

where the quantization step-size is defined as

$$\Delta_b = 2^{R_b - \varepsilon_b} \left( 1 + \frac{\mu_b}{2^{11}} \right). \quad (1.2)$$

Note that the quantization step-size is represented by using a total of two bytes that

contains an 11-bit mantissa  $\mu_b$  and a 5-bit exponent  $\varepsilon_b$ . The dynamic range  $R_b$  depends on the number of bits used to represent the original image tile component and on the choice of the wavelet transform [6]. For reversible operation, the quantization step size is required to be 1 (when  $\mu_b = 0$  and  $\varepsilon_b = R_b$ ).

The implementation of the MQ-coder is beyond the scope of this research and for further reading on this subject, the coding details of the MQ-coder are discussed in [8].

## 1.2 Wavelet Transform

A two-dimensional scaling function,  $\varphi(x, y)$ , and three two-dimensional wavelets  $\psi^H(x, y)$ ,  $\psi^V(x, y)$  and  $\psi^D(x, y)$  are critical elements for wavelet transforms in two dimensional case [1]. The scaling function and the directional wavelets are composed of the product of a one-dimensional scaling function  $\varphi$  and the corresponding wavelet  $\psi$  which are demonstrated as the following:

$$\varphi(x, y) = \varphi(x)\varphi(y) \quad (1.3)$$

$$\psi^H(x, y) = \psi(x)\varphi(y) \quad (1.4)$$

$$\psi^V(x, y) = \varphi(y)\psi(x) \quad (1.5)$$

$$\psi^D(x, y) = \psi(x)\psi(y) \quad (1.6)$$

, where  $\psi^H$  measures the horizontal variations (horizontal edges),  $\psi^V$  corresponds to the vertical variations (vertical edges), and  $\psi^D$  detects the variations along the diagonal directions.

The two-dimensional DWT can be implemented by using digital filters and downsamplers. The block diagram in Fig. 1.2 shows the process of taking the one-dimensional FWT of the rows of  $f(x, y)$  and the subsequent one-dimensional

FWT of the resulting columns. Three sets of coefficients including the horizontal, vertical, and diagonal details are produced. By iterating the single-scale filter bank process, a multi-scale filter bank can be generated. This is achieved by tying the approximation output to the input of another filter bank to produce an arbitrary scale transform. For the one-dimensional case, an image  $f(x, y)$  is used as the first scale input. The resulting outputs are four quarter-size subimages:  $W_\phi$ ,  $W_\psi^H$ ,  $W_\psi^V$ , and  $W_\psi^D$  which are shown in the center quad-image in Fig. 1.3. Two iterations of the filtering process produce the two-scale decomposition at the right of Fig. 1.3. Fig. 1.4 shows the synthesis filter bank that is exactly the reverse of the forward decomposition process [1].

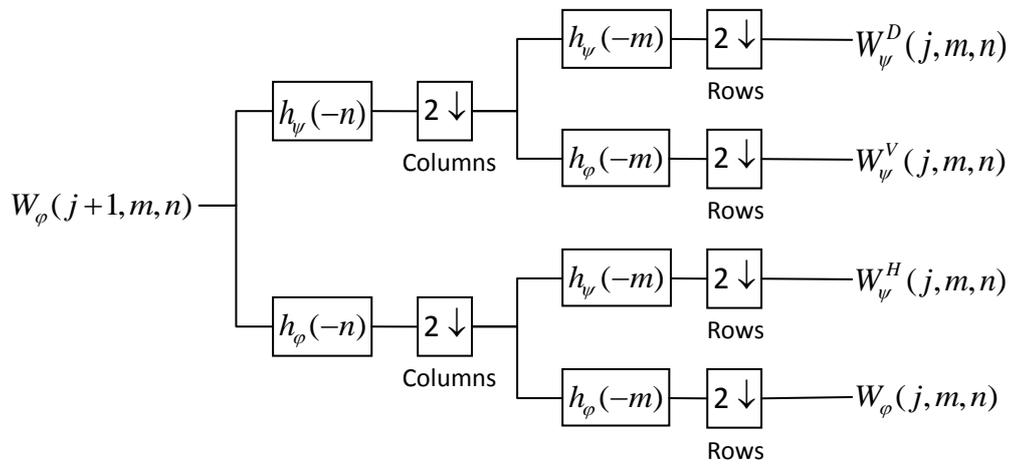


Fig. 1.2 The analysis filter bank of the two-dimensional FWT [1].

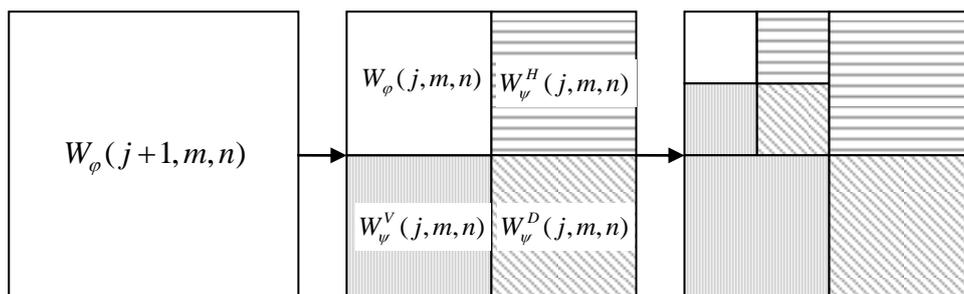


Fig. 1.3 A two-level decomposition of the two-dimensional FWT [1].

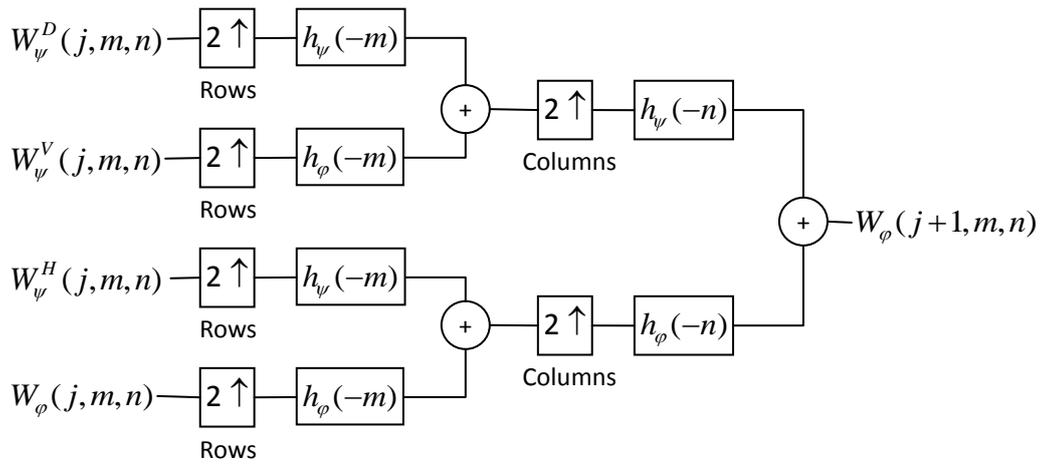


Fig. 1.4 The synthesis filter bank of the two-dimensional FWT [1].

## Chapter 2 JPEG-LS

The JPEG-LS (Joint Photographic Experts Group – Lossless Standard) [9], [10], [11] is a near-lossless compression standard that was developed because at the time, the Huffman coding based JPEG lossless standard and other standards are limited in their compression performance. JPEG-LS, on the other hand, can obtain good de-correlation. It is a simple and efficient baseline algorithm that consists of two independent and distinct stages called modeling and encoding [10], [11]. Prior to encoding, there are two essential steps to be done in the modeling stage: de-correlation (prediction) and error modeling [9]. The core algorithm of the JPEG-LS is called LOCO-I (LOW COMPLEXITY LOSSLESS COMPRESSION for Images) [10], [11]. The JPEG-LS diagram is shown in the Fig. 2.1.

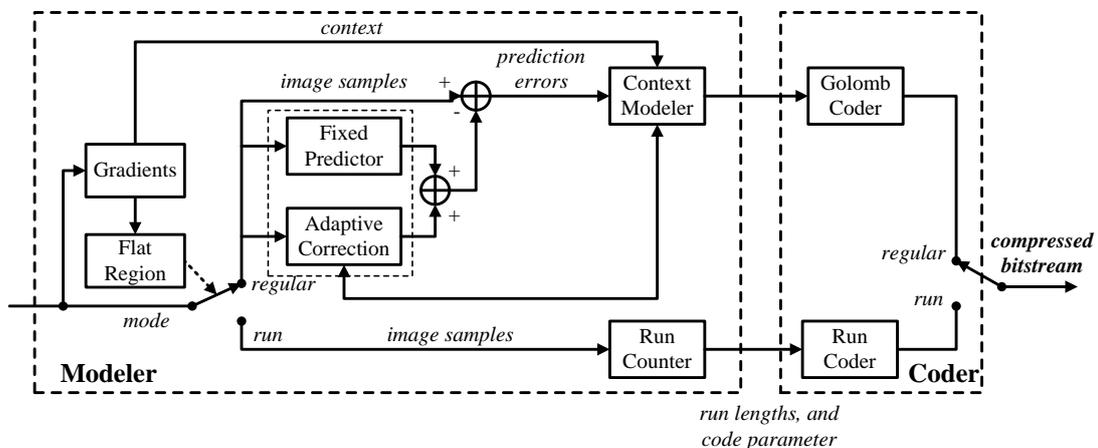


Fig. 2.1 JPEG-LS: Block diagram

### 2.1 Modeler

The modeler contains several parts. First, gradients are calculated for different types of image samples (flat part or image part). Second, the predictor is performed for de-correlation if the procedure enters the “regular” mode. Otherwise, the

procedure enters the “run” mode for more efficient coding. Finally, the predictor is followed by the context modeler that is used for adaptive prediction.

- Gradient: Due to different types of samples coded by different ways, we use the gradient to classify images into two types. Samples of images are illustrated in Fig. 2.2 and the gradients are calculated as follows

$$\begin{aligned}
 g_1 &= D - B \\
 g_2 &= B - C \\
 g_3 &= C - A
 \end{aligned}
 \tag{2.1}$$

The encoder enters a “run” mode when a “flat region” context was detected with  $A = B = C = D$ , which means  $g_1, g_2$ , and  $g_3$  are zero and uses the run length coding. On the other hand, the encoder enters a “regular” mode when an “edge region” context was detected where  $g_1, g_2$ , and  $g_3$  are not zero, and uses the Golomb code.

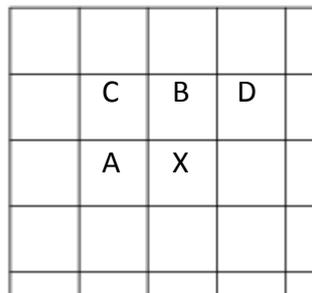


Fig. 2.2 Three neighboring samples around the sample to be predicted [B].

- Predictor: When the JPEG-LS procedure runs into the regular mode, the predictor is executed. In general, the predictor consists of a fixed component and an adaptive component. When the predictor is followed by a zero-order coder (i.e., no further context modeling is performed), its contribution stems from being the only “de-correlation” tool. When used in conjunction with a context model, however, the contribution of the predictor is more subtle, especially for

its adaptive component. In fact, the prediction may seem redundant at first, since the same contextual information that is used to predict is also available for building the coding model that will eventually learn the “predictable” patterns of the data and assign probabilities accordingly. In the LOCO-I algorithm, primitive edge detection of horizontal or vertical edges by examining the neighboring pixels of the current pixel  $X$  as illustrated in Fig. 2.2. The pixel labeled by  $B$  is used in the case of a vertical edge while the pixel located at  $A$  is used in the case of a horizontal edge. This simple predictor is called the Median Edge Detection (MED) predictor [9] or the LOCO-I predictor [10], [11]. The pixel  $X$  is predicted by the LOCO-I predictor according to the following rules:

$$X = \begin{cases} \min(A, B) & \text{if } C \geq \max(A, B) \\ \max(A, B) & \text{if } C \leq \min(A, B) \\ A + B - C & \text{otherwise.} \end{cases} \quad (2.2)$$

The three simple predictors are selected according to the following conditions: (1) It tends to choose  $B$  in cases where a vertical edge exists in the left of the  $X$ . (2) It tends to choose  $A$  when there is a horizontal edge is above  $X$ . (3) It tends to choose  $A + B - C$  if no edge is detected [11].

- **Context Model:** Context model is a very simple and is determined by quantized gradients. It is aimed at approaching the capability of the more complicated universal context modeling techniques for capturing high-order dependencies. The desired small number of free statistical parameters is achieved by adopting a TSGD model that yields two free parameters per context. Reducing the number of parameters is the key objective in the context modeling scheme for the JPEG-LS.

## 2.2 Coding

- Golomb codes: The data with a geometric distribution will have the Golomb code as an optimal prefix code. It makes Golomb codes highly suitable for the situations in which the occurrence of small values in the input stream is significantly more likely than large values. The Rice coding denotes using a subset of the family of Golomb codes to produce a simpler prefix code. Whereas Golomb codes have a tunable parameter that can be any positive value, Rice codes are in which the tunable parameter is a power of two. This makes Rice codes convenient for use on a computer, since multiplication and division by 2 can be implemented more efficiently.
- Run length codes: Golomb Rice codes are quite inefficient for encoding low entropy distributions due to the fact that the coding rate is at least one bit per symbol. Significant redundancy may be produced because the smooth regions in an image can be encoded at less than 1 bit per symbol [9], [10], [11]. The significantly excess code length over the entropy of context of the smooth regions leads to undesired degradation in performance. To avoid having excess code length over the entropy, alphabet extension is used by taking codes blocks of symbols instead of coding individual symbols. This spreads out the excess coding length over many symbols [9]. This is the “run” mode of the JPEG-LS and it is executed once a flat or smooth context region characterized by zero gradients is detected [11]. A run of west symbol “a” is expected and the end of run occurs when a new symbol occurs or the end of line is reached. The total run length is encoded and the encoder would return to the “regular” mode [9], [11].

## Chapter 3 JBIG2

The JBIG2 (Joint Bi-level Image Experts Group) [12], [13] is a coding standard developed by the Joint Bi-level Image Experts Group. It particularly deals with the lossy and lossless compression of bi-level images such as scanned images or facsimiles. The JBIG2 is able to achieve a good compression ratio and code lossy images while preserving visually lossless quality for textual images. The JBIG2 also allows both quality-progressive coding through refinement stages, with the progression going from lower to higher (or lossless) quality, and content-progressive coding, successively adding different types of image data (for example, first text, then halftone). It will have a control structure that allows efficient encoding of multipage documents in sequential or random-access mode, or embedded in another file format. Typically, a bi-level image consists mainly of a large amount of textual and halftone data in which the same shapes appear repeatedly and the bi-level image is segmented into three regions: text, halftone, and generic regions. Each region is coded differently and the coding methodologies are described in the following passage.

### 3.1 Text Image Data

Text coding is based on the nature of human visual interpretation. A human observer cannot tell the difference of two instances of the same characters in a bi-level image even though they may not exactly match pixel by pixel. Therefore, only the bitmap of one representative character instance needs to be coded instead of coding the bitmaps of each occurrence of the same character individually. For each character instance, the coded instance of the character is then stored into a “dictionary” [12].

There are two encoding methods for text image data: pattern matching and substitution (PM&S) and soft pattern matching (SPM). These methods are presented in the following subsections [13].

1) *Pattern matching and substitution*: Due to different contents coded in different ways, we segment of the image into pixel blocks, and search for a match in the dictionary. If a match exists, we code an index of the corresponding representative bitmap in the dictionary and the position of the character on the page. The position is usually relative to another previously coded character [12]. If a match is not found, the segmented pixel block is coded directly and added into the dictionary [13]. Typical procedures of pattern matching and substitution algorithm are displayed in Fig. 3.1 (a). Although the method of PM&S can achieve outstanding compression, substitution errors could be made during the process if the image resolution is low [12].

2) *Soft pattern matching*: In addition to a pointer to the dictionary and position information of the character as in PM&S, we include refinement coding that can be used to recreate the original character on the page, yielding lossless compression. The refinement coding is that the image or character will be re-encoded using a two-plane bitmap coder, making use of previously coded information in both the current image and the previously coded lossy image. Since it is known that the current character is highly correlated with the matched character, the prediction of the current pixel is more accurate [12], [13]. The only difference between PM&S and SPM is that lossy direct substitution of the matched character is replaced by a lossless encoding that uses the matched character in the coding context. Unlike PM&S, lossy SPM does not need a very safe and intelligent matching procedure to avoid substitution errors.

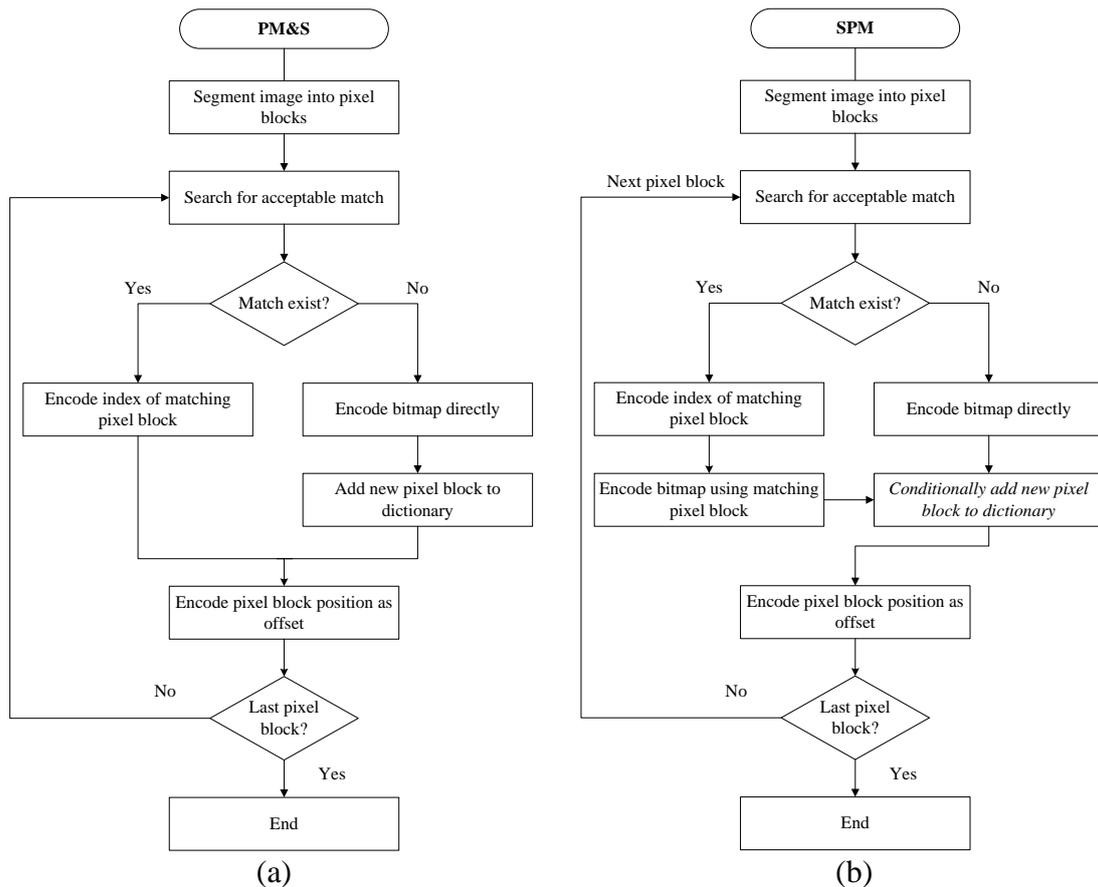


Fig. 3.1 Block diagrams of (a) pattern matching and substitution method and (b) soft pattern matching method [13].

### 3.2 Halftones

Halftone image, which is a bi-level image but looks like a grayscale image, can be compressed by using two methods. In large portions of the image we will encounter white pixels with a probability approaching 1. In other parts of the image there will be a high probability of encountering a black pixel. We can make a reasonable guess of the situation for a particular pixel by looking at values of the pixels in the neighborhood of the pixel being encoded. The first method is similar to the context-based arithmetic coding treatment used in the JBIG1. Instead of checking to see if most of the pixels in the neighborhood are white or black, the JBIG1 encoder

uses the pattern of pixels in the neighborhood, or context to decide which set of probabilities to use in encoding a particular pixel. In the second method, descreening is performed on the halftone image so that the image is converted back to grayscale. In this method, the bi-level image may be divided into pixel blocks, and the grayscale values in the corresponding block may be the sum of the binary pixel values. The converted grayscale values are then used as indexes of fixed-sized tiny bitmap patterns contained in a halftone bitmap dictionary. This allows decoder to successfully render a halftone image by presenting indexed dictionary bitmap patterns neighboring with each other [12], [13].

### 3.3 Arithmetic Entropy Coding

All three region types including text, halftone, and generic regions may all use arithmetic coding. JBIG2 specifically uses the MQ coder.

## Chapter 4 GIF

The GIF (Graphics Interchange Format) is an image compression standard aiming to transmit and interchange graphic data so that the format is independent of the hardware used to display the data. The Graphics Interchange Format is divided into blocks and sub-blocks and each of them contains relevant data information that can be used to recreate a graphic image. The GIF format uses the Variable-Length-Code LZW (Lempel-Ziv-Welch) Compression that is based on the LZW compression. The following subsection provides an introduction on the LZW algorithm [1].

### 4.1 LZW Data Compression

The Lempel Ziv approach is a simple algorithm that replaces string of characters with single codes and adds new string of characters to a “string table” without doing any analysis of the incoming text. When using 8-bit characters, by default, the first 256 codes are assigned to the standard character set and as the algorithm proceeds, the rest codes are assigned to strings. For instance of 12 bit codes, the codes from 0 to 255 are individual bytes and codes 256 to 4095 are assigned to substrings [14].

*1) Compression:* A simple form of the LZW compression algorithm [14] is shown in Fig. 4.1. The algorithm shows that the LZW outputs codes for known strings and adds a new string to the string table when a new code is output.

#### Routine LZW\_COMPRESS

```
STRING = get input character
WHILE there are still input characters DO
  CHARACTER = get input character
  IF STRING + CHARACTER is in the string table then
    STRING = STRING + character
  ELSE
    output the code for STRING
    add STRING + CHARACTER to the string table
    STRING = CHARACTER
  END of IF
END of WHILE
output the code for STRING
```

Fig. 4.1 The LZW compression algorithm [14].

An example of the compression process with input string “/WED/WE/WEE/WEB/WET” is demonstrated in Table 4.1. The algorithm first runs through the while loop to check if the string “/W” is in the table. Obviously it is not at the initial stage so the algorithm output “/” and add the string “/W” into the string table. The newly added string is assigned to code 256, right after the defined codes from 0 to 255. The third letter “E” is then read and the second string code “WE” is added to the string table while the letter “W” is output. The similar process is repeated until the repeated characters “/” and “W” are read. These characters match the number 256 string already defined in the string table; therefore, the code 256 is output and a new string “/WE” is added into the string table.

Table 4.1 An example of the LZW compression process [14].

Input String = /WED/WE/WEE/WEB/WET			
Character Input	Code Output	New Code Value	New String
/W	/	256	/W
E	W	257	WE
D	E	258	ED
/	D	259	D/
WE	256	260	/WE
/	E	261	E/
WEE	260	262	/WEE
/W	261	263	E/W
EB	257	264	WEB
/	B	265	B/
WET	260	266	/WET
EOF	T		

2) *Decompression*: The decompression algorithm [14] requires the stream of codes output from the compression algorithm in order to reconstruct the original input. The LZW algorithm is considered efficient because a large string table created in the compression process does not need to be passed to the decompression side. The decompression algorithm shown in Fig. 4.2 allows us to recreate the exact string table that was built in the compression process.

*Routine LZW\_DECOMPRESS*

```
Read OLD_CODE
Output OLD_CODE
WHILE there are still input characters DO
  Read NEW_CODE
  STRING = get translation of NEW_CODE
  Output STRING
  CHARACTER = first character in STRING
  Add OLD_CODE + CHARACTER to the translation table
  OLD_CODE = NEW_CODE
END of WHILE
```

Fig. 4.2 The LZW decompression algorithm [14].

Every time when the decompression algorithm reads in a new code, it adds a new string to the string table. An example of decompression process is demonstrated in Table 4.2. One can easily notice that the string table is identical to the one built during the compression process and the output stream is the same as the input string from the compression algorithm.

Table 4.2 An example of the LZW decompression process [14].

Input Codes: / W E D 256 E 260 261 257 B 260 T				
Input/ NEW_CODE	OLD_CODE	STRING/ Output	CHARACTER	New table entry
W	/	W	W	256 = /W
E	W	E	E	257 = WE
D	E	D	D	258 = ED
256	D	/W	/	259 = D
E	256	E	E	260 = /WE
260	E	/WE	/	261 = E/
261	260	E/	E	262 = /WEE
257	261	WE	W	263 = E/W
B	257	B	B	264 = WEB
260	B	/WE	/	265 = B
T	260	T	T	/WET

## 4.2 Implementation Challenges of LZW Algorithm

Although the LZW compression algorithm is very simple and it usually can be expressed in only a dozen of lines, the implementation is actually complicated because of the complexity in managing the string table. The problems [14] are elaborated in the following:

- 1) *Limited storage in the string table:* For instance of a 12 bit code program, the potential number of strings in the string table is  $2^{12} = 4096$ . Since a new string is added to the string table each time a match is not found, the string table fills up rapidly and the overhead of storing a variable length string could be large.
- 2) *Large computational overhead resulted from string search:* The algorithm has to search for the new string composed of STRING+CHARACTER each time a new character is read in. For example, 12 string compares are potentially

required when we are using a code size of 12 bits. Generally, the computational complexity for each string search take on the order of  $\log^2$  string compares. This computational overhead increases the string comparison time.

The amount of storage required depends on the total length of all the strings. The storage problem can be solved by storing each string as a combination of a code and a character. For the instance of the compression process shown in Table, the string “/WEE” can be stored as code 260 with appended character “E”. The byte required in the storage can be reduced from 5 bytes to 3 bytes. This method can also reduce the amount of time for a string comparison. The method, however, cannot reduce the number of comparisons that have to be made to find a match. A hashing algorithm can be employed to solve this problem. We basically store a code in a location in the array based on an address formed by the string itself instead of storing the code  $N$  in location  $N$  of the array. If we are trying to search for a string, we can generate a hashed address using the test string. This speeds up the overall string comparison task [14].

### 4.3 Application of LZW Algorithm in Image Compression

In general, an 8-bpp image is composed of 256 possible 8-bit binary numbers. Each 8-bit binary number corresponds to an ASCII character. For instance, a binary number 01100111 can be represented by g in terms of ASCII codes. In [14], Nelson explained how the LZW works using a sample string formed by characters.

After the Quantization stage, the quantized coefficients of every arbitrary image segment can be represented by numbers from 0 to 255. When we are dealing with a large arbitrary image segment, it is possible that the resulting DCT coefficients exceed 255. The solution to this problem is that we can use a 16-bit code table to increase the

number of entries in the code table. In the LZW method, a code table that is the main component of the so-called dictionary coding is formed before the encoding process starts. By standard, a 12-bit code table containing 4096 entries is used. The first 256 (0-255) entries are base code that correspond to 256 possible quantized coefficients. The rest entries (256-4095) are unique code. The LZW method achieves compression by using codes 256 through 4095 to represent sequences of bytes. For example, code 523 may represent the sequence of three bytes: 231 124 234. Each time the compression algorithm encounters this sequence in the input file, code 523 is placed in the encoded file. During decompression, code 523 is translated via the code table to recreate the true 3 byte sequence. The longer the sequence assigned to a single code, and the more often the sequence is repeated, the higher the compression achieved [2].

## Chapter 5 PNG

The PNG (Portable Network Graphics) [15] utilizes a lossless data compression algorithm called deflate compressed data format [16] that is the only presently defined compression method for the PNG. Deflate compression is actually a combination of the LZ77 algorithm and Huffman coding. For the PNG, data streams compressed by deflate algorithm are stored in the “zlib” format as a series of blocks. Each block is compressed by using both the LZ77 algorithm and Huffman coding and each of them can represent uncompressed data, the LZ77-compressed data encoded with fixed Huffman codes, or the LZ77-compressed data encoded with custom Huffman codes. Each block contains two parts: a pair of Huffman code trees and a compressed data. The Huffman code trees are used to describe the representation of the compressed data and they are independent of the trees for the previous and subsequent blocks. The compressed data contains two types of elements: *literal bytes* that represent the strings that have not been detected as duplicated within the previous 32K input bytes and *pointers to duplicated strings* that are expressed as <length, backward distance>. The representation used in the “deflate” format limits distances to 32K bytes and lengths to 258 bytes, but does not limit the size of a block, except for uncompressed blocks. Two separated code trees are used to represent the type of value in the compressed data. One code tree is used for literals and lengths and an independent one is used for distances. In the following sections, the details on the uses of Huffman coding in code trees and the LZ77 in compressed data part are discussed.

## 5.1 Huffman Coding in the Deflate Format

In the deflate format, Huffman coding is employed with two additional rules. The first rule specifies that all codes with the same bit length have lexicographically consecutive values and these codes represent the symbols in the lexicographical order. The second rule states that shorter codes lexicographically precede longer codes. Suppose that we have symbols A, B, C, and D and the Huffman codes are given as 10, 0, 110, and 111 respectively. According to the rules described above, code “0” precedes “10” and code “10” precedes both “110” and “111” which are lexicographically consecutive. These two rules allow us to sufficiently determine the complete codes by obtaining only the minimum code value and the number of codes for each code length [16]. A simplified algorithm [16] is described in the following procedures:

- 1) Count the number of codes for each code length. Let `bl_count[18]` be the number of codes of length `N`,  $N \geq 1$ .
- 2) Find the numerical value of the smallest code for each code length:

```
code = 0;
bl_count[0] = 0;
for (bits = 1; bits <= MAX_BITS; bits++) {
    code = (code + bl_count[bits-1]) << 1;
    next_code[bits] = code;
}
```

- 3) Assign numerical values to all codes, using consecutive values for all codes of the same length with the base values determined at step 2. Codes that are never used (which have a bit length of zero) must not be assigned a value.

```
for (n = 0; n <= max_code; n++) {
```

```

len = tree[18].Len;
if (len != 0) {
    tree[18].Code = next_code[len];
    next_code[len]++;
}

```

Example:

Consider the alphabet ABCDEFGH, with bit lengths (3, 3, 3, 3, 3, 2, 4, 4). After step 1, we have:

N	bl_count[18]
-	-----
2	1
3	5
4	2

Step 2 computes the following next\_code values:

N	next_code[18]
-	-----
1	0
2	0
3	2
4	14

Step 3 produces the following code values:

Symbol	Length	Code
-----	-----	----
A	3	010
B	3	011

C	3	100
D	3	101
E	3	110
F	2	00
G	4	1110
H	4	1111

## 5.2 LZ77-Related Compression Algorithm Details

The Lempel-Ziv 77 is the first compression algorithm for sequential data compression. The dictionary of the LZ77 is a portion of the previously encoded sequence. The encoder examines the input sequence through a sliding window as shown in the following figure. The sliding window contains two parts:

1. **Search Buffer:** It contains a portion of the recently encoded sequence.
2. **Look-Ahead Buffer:** It contains the next portion of the sequence to be encoded.

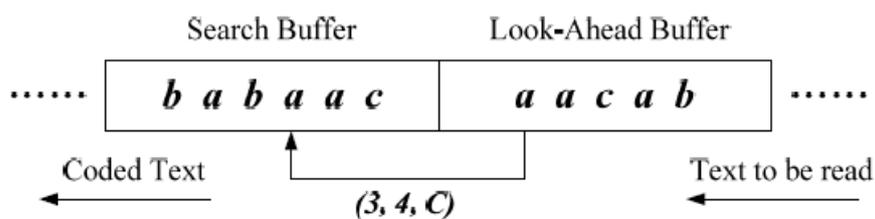


Fig. 5.1 The sequence with a sliding window.

- First, the encoder moves a **search pointer** back through the search buffer until it encounters a match to the first symbol in the look-ahead buffer. The distance of the pointer from the look-ahead buffer is called the **offset**.
- The encoder then examines the symbols following the symbol at the pointer location to see if they match consecutive symbols in the look-ahead buffer.
- The size of the sliding window is  $N$ , the size of the look-ahead buffer is  $F$ , and

the size of the search buffer is  $(N-F)$ .

The encoder searches the search buffer for the longest match. Once the longest match has been found, the encoder encodes it with a triple  $\langle C_p, C_l, C_s \rangle$ , where  $C_p$  is the offset or position of the longest match from the look-ahead buffer,  $C_l$  is the length of the longest matching string, and  $C_s$  is the symbol following the matching string. After the codeword has been done, the window is shifted right  $(C_l + 1)$  characters, ready for another coding step. The following figure is an example.

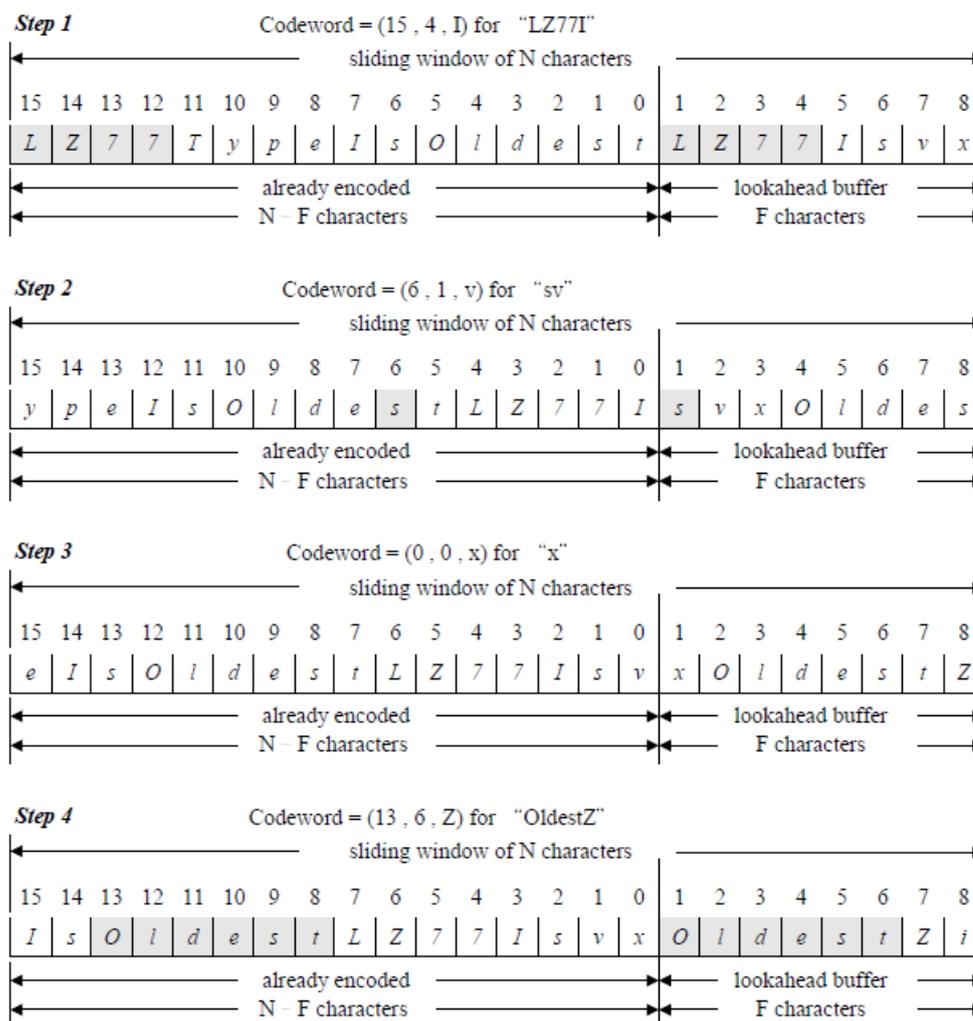


Fig. 5.2 An example of the LZ77.

## Chapter 6 HD Photo (JPEG XR)

HD photo [17], also known as Windows Media Photo, is a relatively new image compression technology developed by Microsoft Corporation. The ITU-T/ISO/IEC JPEG committee now has the format under review for standardization with the name JPEG XR. HD photo supports wide range of color formats, including monochrome, RGB, CMYK, and N-Channel. The format is also capable of handling very large images because it uses a tiling scheme to partition images into tiles. Each tile can be encoded and decoded independently. This is a special feature for HD photo since it allows region of interest (ROI) decoding. This means that a decoder can only decode the part of the image that the user is interested in. The compression algorithm also supports three level of multi-resolution representation and provides additional levels of bit rate scalability that enables progressive decoding. This scalability makes stage-by-stage decoding possible and produces increasing levels of quality. As a result, a lossy to lossless representation can be achieved seamlessly. The ROI decoding feature can also be combined with the progressive decoding feature to let a user to view a large image in moderate detail and zoom in to see a particular part of the image with finer detail. The main advantages of the HD photo compression algorithm include

- the minimized algorithmic and runtime complexity, and
- low memory requirement.

### 6.1 Data Hierarchy

HD photo compression algorithm organizes uncompressed data in three

orthogonal dimensions: multiple color channels, hierarchical spatial layout, and hierarchical frequency layout. All three dimensions are discussed in the following sub-sections.

1) *Color Channels*: Unlike a YUV image that only has a luma plane and 2 chroma channels, an HD photos supports a total of 16 color channels including one luma channel and 15 chroma channels. In addition, an HD photo also contains an alpha channel that controls the transparency of the image. For a YUV 4:4:4 compression format, the spatial resolutions of all the color channels are the same. For a YUV 4:2:2 format, both the two chroma channels are downsampled by a factor of 2 horizontally. For a YUV 4:2:0 format, the same downsampling process is performed in both vertical and horizontal directions.

2) *Spatial Hierarchy*: The spatial hierarchy is composed of five types of components including samples, blocks, macroblocks, Tiles, and the image itself. Their definitions are organized in Table 6.1. The spatial hierarchy is also depicted in Fig. 6.1.

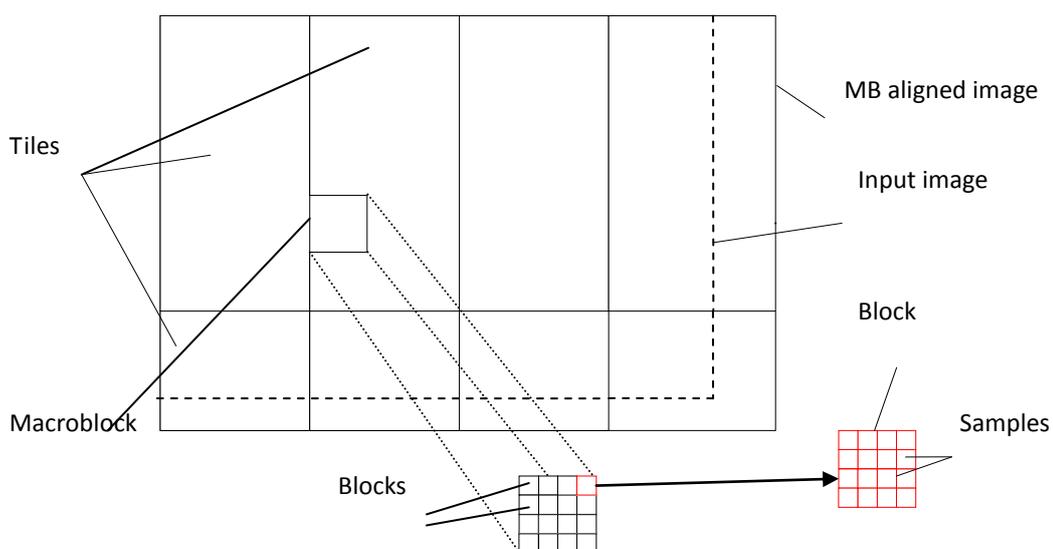


Fig. 6.1 HD Photo spatial hierarchy [17].

Table 6.1 Definitions of the spatial hierarchy components [17].

<b>Spatial Hierarchy Type</b>	<b>Definition</b>
Sample	An integer value corresponding to a color channel at a certain spatial location.
Block	A $4 \times 4$ array of neighboring samples corresponding to a same color channel.
Macroblock	A $4 \times 4$ of adjacent blocks of co-located luma and chroma channels.
Tile	A group of adjacent macroblocks.
Image	An image as a whole.

3) *Frequency Hierarchy*: As depicted in Fig. 6.2(a), a first stage transform is performed on each  $4 \times 4$  block within a  $16 \times 16$  macroblock. This yields a DC coefficient and 15 AC coefficients for each  $4 \times 4$  block. The resulted 16 DC coefficients are then collected into an independent  $4 \times 4$  block and a second stage transform is applied to the block, yielding a new second stage DC component and 15 second stage AC components. The second stage DC component and the second stage AC components are referred to as the DC and lowpass (LP) coefficients respectively. The rest 240 first stage AC coefficients are referred to as the highpass (HP) coefficients. The three subbands including DC, HP, and LP are quantized and coded independently.

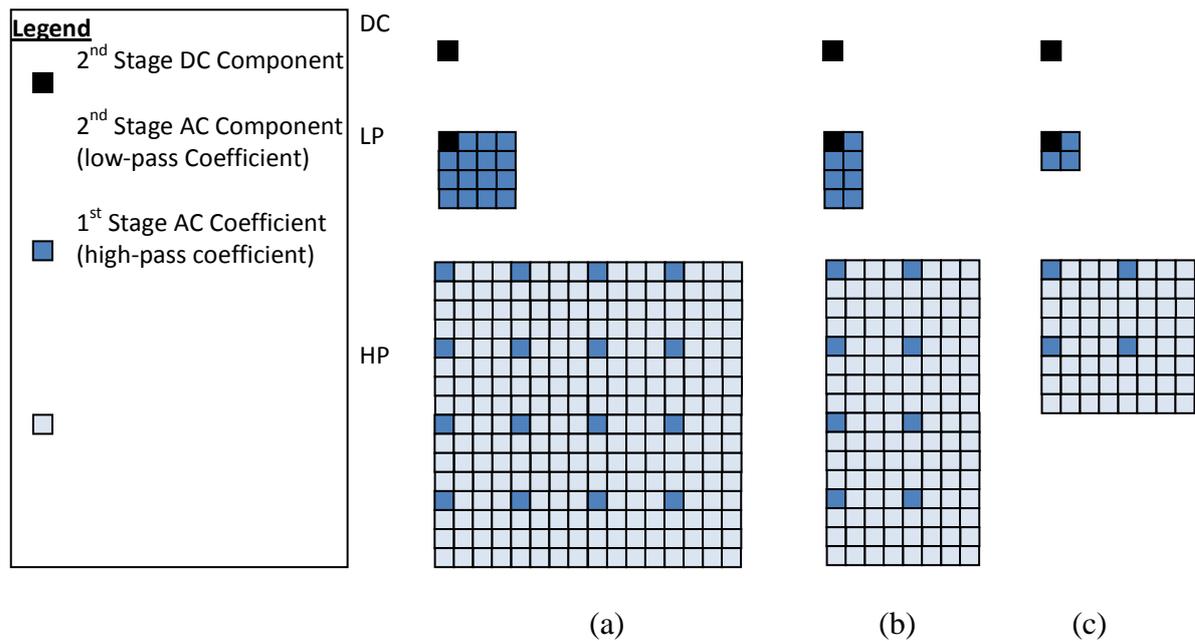


Fig. 6.2 Frequency hierarchy of a macroblock in the instance of (a) the general case, (b) YUV 4:2:2 chroma, and (c) YUV 4:2:0 chroma.

## 6.2 HD Photo Compression Algorithm

The core compression algorithm of HD Photo consists of 1) transforms, 2) flexible quantization, 3) prediction, 4) adaptive coefficient scanning, and 5) entropy coding. For the concepts behind each stage, the reader is encouraged to refer to [17]

## Chapter 7    **TIFF 6.0**

TIFF (Tag Image File Format) [18] 6.0 is a raster file format that supports bi-level, grayscale, palette-color, and full-color image data in several color spaces. It usually describes image data from scanners, frame grabbers, and paint- and photo-retouching programs. In TIFF 6.0, several compression schemes are employed including the PackBits compression, the modified Huffman compression that adapts the coding scheme of CCITT Group III and IV compression, and LZW compression. However, this flexibility of compression scheme actually makes the file format itself complicated because if some image readers are not able to decode any one of the compressions used in the encoding process, decompression failures will occur.

### 7.1    Difference Predictor

A horizontal difference predictor [18] is applied before LZW to further improve compression ratio. A difference predictor allows LZW to compact the data more compactly due to the fact that many continuous-tone images do not have much variation between the neighboring pixels. This means that many of the differences should be 0, 1, or -1. The combination of LZW coding with horizontal differencing is lossless.

### 7.2    PackBits Compression

The Apple Macintosh PackBits compression algorithm [19], [18] is a simple byte-oriented run-length encoding (RLE) scheme. The PackBits scheme specifies that the length of uncompressed data must not be greater than 127 bytes. If the

uncompressed data to be compressed is more than 127 bytes, the data is broken up into 127-byte groups and the PackBits compression is then performed on each group. In the encoding data, the first byte that belongs to two's complement system is a flag-counter byte that indicates whether the consequent data is packed or not and the number of bytes in the packed or unpacked data. If this first byte is a negative number, the following data is packed and the number is a zero-based count of the number of times the data byte repeats when expanded. There is one data byte following the flag-counter byte in packed data; the byte after the data byte is the next flag-counter byte. If the flag-counter byte is a positive number, then the following data is unpacked and the number is a zero-based count of the number of incompressible data bytes that follow. There are (flag-counter+1) data bytes following the flag-counter byte. The byte after the last data byte is the next flag-counter byte. Suppose that we have the following unpacked data:

AA AA AA 80 00 2A AA AA AA AA 80 00 2A 22 AA AA AA AA AA AA AA AA AA AA

The packed code using PackBits is shown as in Fig. 7.1:

FE AA	; (-(-2)+1) = 3 bytes of the pattern \$AA
02 80 00 2A	; (2)+1 = 3 bytes of discrete data
FD AA	; (-(-3)+1) = 4 bytes of the pattern \$AA
03 80 00 2A 22	; (3)+1 = 4 bytes of discrete data
F7 AA	; (-(-9)+1) = 10 bytes of the pattern \$AA

or

FE AA 02 80 00 2A FD AA 03 80 00 2A 22 F7 AA  
 \* \* \* \* \*

Fig. 7.1 An example of packbits compression

The bytes with the asterisk (\*) under them are the flag-counter bytes. PackBits packs the data only when there are three or more consecutive bytes with the same data; otherwise it just copies the data byte for byte (and adds the count byte). During the unpacking process, the process must require the length of the unpacked data in order to know that the process have reached the end of the packed data [19].

### 7.3 Modified Huffman Compression

The modified Huffman compression [18] is based on the CCITT Group 3 1D facsimile compression scheme and it is used for processing bi-level data. Since the modified Huffman compression is a method for encoding bi-level images, code words are only used to represent the run length of the alternative black and white runs. In order to maintain color synchronization at the decompressing side, every single data line starts with a white run-length code word set. A white run of zero run-length is sent in the case of an initial black run. All the usable code words are completely shown in [18] under section 10. The code words are of two types: Terminating code words and Make-up code words. Each run-length is represented by zero or more Make-up code words followed by exactly one Terminating code word. Run lengths in the range of 0 to 63 pixels are encoded with their appropriate Terminating code word. If run lengths are bigger than 63, the run-length is encoded with Make-up code words followed by a Terminated code word. For example, if the run-length is 2623, the run-length is separated into 2560 and 63 that are coded by a Make-up code word and a Terminated code word respectively.

## **Chapter 8 Conclusion and Comparison**

The compression standards have been introduced. We are not difficult to notice that, for lossy compression, there must be sacrifice in quality while raising compression ratio. On the other hand, compression ratio in lossless compression is much worse than in lossy one. What researchers try to investigate is creating a method with high compression ratio and less distortion. However, as discussed above, every compression standard has its own applications, such as bi-level image or 8-bits per pixel image, so it has different performance when dealing with different types of images. Nowadays there therefore is still no method to include all these compression standards and techniques.

Table 8.1 Comparison of all introduced compression

Standard	Algorithm	Application	Advantage	Disadvantage
JPEG2000	Wavelet transform	General image	High compression ratio with less distortion	More complicated Low quality when low compression ration
JPEG-LS	LOCO-I	General image	Low complexity Lossless compression	Low compression ratio
JBIG2	PM&S, SPM	Bi-level image	Quality-progressive Content-progressive Random-access	Low compression ratio for high-bit-rate image
GIF	LZW	8-bpp image	Lossless compression Allow for animation	Low compression ratio Not support true color image Independent of hardware
PNG	LZ77 Huffman coding	General image	Lossless compression Higher compression ratio than GIF	Not allow for animation Need more header
JPEG XR (HD Photo)	DCT Integer transform	General image	Support 32-bit bpp Spatial hierarchy Frequency hierarchy	More complicated
TIFF 6.0	PackBits Modified Huffman coding	General image	Lossless compression Support many types of compression standard	More complicated Need more header and code table

# REFERENCES

## A. Digital Image Processing

- [1] R. C. Gonzalez and R. E. Woods, *Digital Image Processing Second Ed.*, Prentice Hall, New Jersey, 2002.
- [2] X. Wu and N. D. Memon, "Context-based, adaptive, lossless image coding," *IEEE Trans. Commun.*, vol. 45, pp. 437-444, Apr. 1997.

## B. Digital Image Compression

- [3] 酒井善則、吉田俊之 共著，白執善 編譯，“影像壓縮技術”，全華，2004
- [4] T. Acharya and A. K. Ray, *Image Processing Principles and Applications*, John Wiley & Sons, New Jersey.
- [5] C. Christopoulos, A. Skodras, and T. Ebrahimi, "The JPEG2000 Still Image Coding System: An Overview," *IEEE Trans. on Consumer Electronics*, vol. 46, no. 4, pp.1103-1127, Nov. 2000.
- [6] M. Rabbani and R. Joshi, "An Overview of the JPEG2000 Still Image Compression Standard," *Signal Processing: Image Comm.*, vol. 17, no. 1, 2002.
- [7] S. G. Mallat, "A theory for multiresolution signal decomposition: the wavelet representation," *Transactions on Pattern Analysis and Machine Intelligence*, vol.11, no.7, pp.674-693, Jul. 1989.
- [8] M.J. Slattery, J.L. Mitchell, "The Qx-coder," *IBM J. Res. Development*, vol. 42, no. 6, pp. 767-784, Nov. 1998.

## C. Other Existing Compression Standards of Still Images and Their Coding Details

- [9] Nasir D. Memon, Xiaolin Wu, V. Sippy, and G. Miller, "Interband coding extension of the new lossless JPEG standard," *Proc. SPIE Int. Soc. Opt. Eng.*, vol. 3024, no. 47, pp.47-58, Jan. 1997.
- [10] M. J. Weinberger, G. Seroussi, and G. Sapiro, "LOCO-I: A low complexity, context-based, lossless image compression algorithm," in *Proc. 1996 Data Compression Conference*, pp. 140–149, Snowbird, UT, Mar. 1996.
- [11] M. Weinberger, G. Seroussi, and G. Sapiro, "The LOCO-I lossless image compression algorithm: Principles and standardization into JPEG-LS," *IEEE Trans. Image Processing*, vol. 9, no. 8, pp. 1309–1324, Aug. 2000, originally as Hewlett-Packard Laboratories Technical Report No. HPL-98-193R1, November 1998, revised October 1999. Available from <http://www.hpl.hp.com/loco/>.
- [12] F. Ono, W. Rucklidge, R. Arps, and C. Constantinescu, "JBIG2-the ultimate bi-level image coding standard," *Image Processing, 2000. Proceedings. 2000 International Conference on*, vol.1, pp.140-143, 2000.
- [13] P. Howard, F. Kossentini, B. Martins, S. Forchhammer, and W. Rucklidge, "The emerging JBIG2 standard," *Circuits and Systems for Video Technology, IEEE Transactions on*, vol.8, no.7, pp.838-848, Nov 1998.
- [14] M. Nelson, "LZW data compression," *Dr. Dobb's Journal*, pp. 29-36, 86-87, Oct. 1989.
- [15] G. Randers-Pehrson et al., PNG (Portable Network Graphics) specification version 1.2," PNG Development Group, July 1999.
- [16] P. Deutsch, *DEFLATE Compressed Data Format Specification version 1.3*, IETF RFC 1951, May 1996; [www.ietf.org/rfc/rfc1951.txt](http://www.ietf.org/rfc/rfc1951.txt).
- [17] S. Srinivasan, C. Tu, S. L. Regunathan, R. A. Rossi, Jr., G. J. Sullivan, "HD Photo: a new image coding technology for digital photography," *Applications of*

*Digital Image Processing XXX, Proceedings of SPIE*, vol. 6696, pp. 66960A,  
August 2007.

[18] Adobe Systems. TIFF Specification, Revision 6.0. Available:  
<http://partners.adobe.com/public/developer/en/tiff/TIFF6.pdf>.

[19] Apple Inc., "Understanding PackBits," Developer Connection, Apple Inc., Tech.  
Note TN1023, 1996.